

**South African  
Computer  
Journal  
Number 11  
May 1994**

**Suid-Afrikaanse  
Rekenaar-  
tydskrif  
Nommer 11  
Mei 1994**

**Computer Science  
and  
Information Systems**

**Rekenaarwetenskap  
en  
Inligtingstelsels**

**The South African  
Computer Journal**

*An official publication of the Computer Society  
of South Africa and the South African Institute of  
Computer Scientists*

**Die Suid-Afrikaanse  
Rekenaartydskrif**

*'n Amptelike publikasie van die Rekenaarvereniging  
van Suid-Afrika en die Suid-Afrikaanse Instituut  
vir Rekenaarwetenskaplikes*

---

**Editor**

Professor Derrick G Kourie  
Department of Computer Science  
University of Pretoria  
Hatfield 0083  
Email: dkourie@dos-lan.cs.up.ac.za

**Subeditor: Information Systems**

Prof John Shochot  
University of the Witwatersrand  
Private Bag 3  
WITS 2050  
Email: 035ebrs@witsvma.wits.ac.za

**Production Editor**

Dr Riël Smit  
Mosaic Software (Pty) Ltd  
P.O.Box 16650  
Vlaeberg 8018  
Email: gds@cs.uct.ac.za

---

**Editorial Board**

Professor Gerhard Barth  
Director: German AI Research Institute

Professor Pieter Kritzinger  
University of Cape Town

Professor Judy Bishop  
University of Pretoria

Professor Fred H Lochovsky  
University of Science and Technology, Kowloon

Professor Donald D Cowan  
University of Waterloo

Professor Stephen R Schach  
Vanderbilt University

Professor Jürg Gutknecht  
ETH, Zürich

Professor Basie von Solms  
Rand Afrikaanse Universiteit

---

**Subscriptions**

	Annual	Single copy
Southern Africa:	R45,00	R15,00
Elsewhere:	\$45,00	\$15,00

to be sent to:

*Computer Society of South Africa  
Box 1714 Halfway House 1685*

# Markovian Analysis of DQDB MAC Protocol

Falko Bause\*      Pieter Kritzing†      Michael Sczittnick\*  
\*Lehrstuhl Informatik IV, Universität Dortmund, 44221 DORTMUND, Germany  
†Data Network Architectures Laboratory, University of Cape Town, South Africa

## Abstract

The Distributed Queue Dual Bus (DQDB) protocol or IEEE 802.6 has been accepted as the international standard for Metropolitan Area Networks (MAN). This paper describes a combined M/G/1 and Markov model for the steady state performance analysis of a DQDB network. In the analysis each station is considered independently, but not in isolation, thus avoiding the computational complexity which would otherwise be introduced by a large number of stations. Because of this, the proposed model is not restricted to trivially small networks frequently found in other analytical models.

The model takes into account the relative position of a station on the network, the phase difference between the two buses as well as the relative availability of QA-slots. Comparisons with our own simulation studies show that the analytical results lie well within acceptable error limits in all but exceptional cases. The effect of various model parameters on network performance are also reported.

**Keywords:** DQDB, Markov Model, Metropolitan Area Network, Performance Analysis

**Computing Review Categories:** C.4, D.4.8, I.6.4

Received: Augustus 1993, Accepted: February 1994, Final version: February 1994.

## 1 Introduction

The Distributed Queue Dual Bus (DQDB) protocol or IEEE 802.6 has been accepted as the international standard for Metropolitan Area Networks (MAN). Since it was first proposed, there have been several performance studies of DQDB networks. Most performance studies are, however, based upon simulation models, e.g., [3, 5, 6, 12] and only few analytical models are reported in the literature, e.g., [4, 10, 14] and then only for either special load situations or trivially small networks.

Potter and Zukerman [11], for instance, assume that there is no delay on the reverse bus and that all segments arrive at the end of a slot interval. The DQDB network is modelled by a multi-queue processor sharing model leading to accurate results under these assumptions.

Tran-Gia in turn [13] proposes a model of nested M/G/1 queues, where each station is represented by such a queue and service time at a station is influenced by the waiting time of the previous station.

Mukherjee and Banerjee [10] propose a Markov model which considers the entire network, with the consequence that only very small networks with 2 or 3 nodes can be analysed.

In this paper we present a Markov model which applies to all load conditions and any number of nodes and captures the relative position dependency amongst network stations in DQDB. The validation of the results against those obtained from simulation show the model to be of adequate accuracy.

## 2 DQDB Architecture

Figure 1 illustrates the DQDB architecture which consists of a pair of uni-directional buses connecting the stations. We will consider there to be  $N$  stations on the network but here is no limit to the number of stations which may be connected to the bus. The Head Station continuously generates frames every  $125 \mu\text{s}$  and transmits these along the *forward bus*. Each frame is subdivided into slots of equal size and each slot in turn has a header containing several fields including three priority request bits. The *End Station* terminates the forward bus and removes all incoming frames and generates slots at the same transmission rate and of the same sort (cf. slot description below) on the reverse bus.

Referring to Figure 1, if station  $\{j\}$  wants to send data to station  $\{i\}$  it would use the reverse bus while if  $\{i\}$  wants to transfer data to  $\{j\}$  it would use the forward bus.

Each slot on either bus can be allocated either to isochronous traffic (called *pre-arbitrated* slots or PA-slots) or non-isochronous traffic (called *queued arbitrated* slots or QA-slots). Slots dedicated for isochronous traffic may not be used for non-isochronous data<sup>1</sup>. In the DQDB protocol described in the following, only non-isochronous traffic is considered. We moreover assume that a fraction  $\alpha$  of the slots on the forward bus are available for queue arbitrated traffic.

Each header contains, amongst others, a BUSY bit and a REQUEST FIELD. The BUSY bit indicates whether the slot concerned contains information or is empty. The 3-bit REQUEST FIELD is intended for a 3-level priority scheme. The standard currently recognises only one priority level, however, and the model we present is for a single priority

<sup>1</sup>The request field of any slot, however, can be used for setting a request.

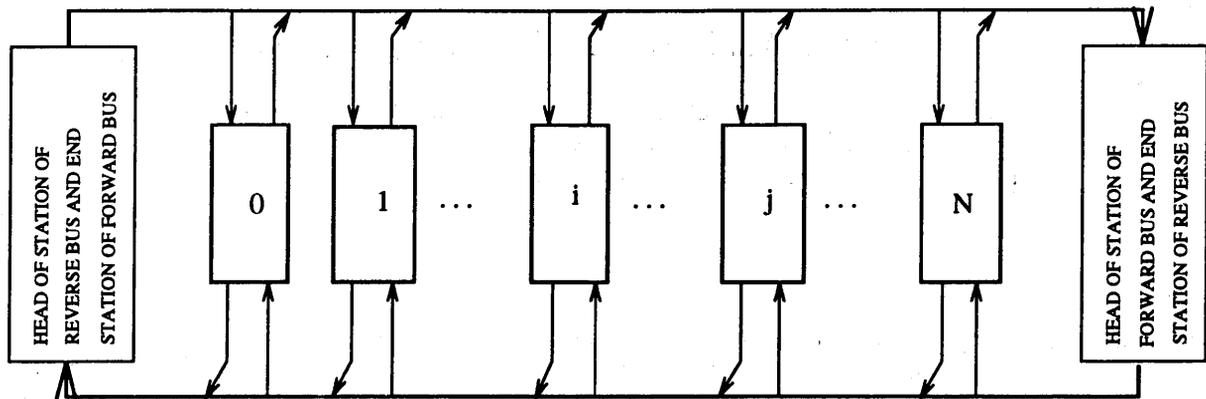


Figure 1. The DQDB architecture.

class. For completeness, our description of the DQDB protocol in the next section is for the general case.

### 3 The DQDB Bus Arbitration Algorithm

The DQDB or IEEE 802.6 standard [7] is well-known. In this section we describe only our notation and the rules for accessing the bus in order to accurately relate it to our proposed Markov model. Figure 2 on page 50 illustrates the bus access process schematically.

In our analyses we consider *data segments* to travel from station  $\{i, i = 0, \dots, N\}$  to station  $\{j, j = i + 1, \dots, N\}$  (cf. Figure 1) along the *forward bus*. Similarly we refer to *requests* as moving from station  $\{j\}$  to station  $\{i\}$  along the *reverse bus*.

Messages, constituting one or more segments, arrive at any station  $\{i\}$  for transmission at priority  $j$ ;  $j = 0, 1, 2$ . We denote segments of priority  $j$  at station  $\{i\}$  by  $s_i(j)$  and their respective queues by  $D_i(j)$ ;  $j = 0, 1, 2$ . We refer to these queues as the *local queues* for the forward bus. Each local queue is served in FIFO order.

Segment  $s_i(j)$  is put into the common *distributed queue* by posting a single request  $r_i(j)$  for transmission on the reverse bus, in a separate request queue denoted  $Q_i(j)$ ;  $j = 0, 1, 2$ . The position of  $s_i(j)$  in the distributed queue is determined by the value at that instant of a request counter  $R_i(j)$  at each station  $\{i\}$  which counts the number of segments which entered the distributed queue since the last segment at this station was queued.

We do not include the Bandwidth Balancing Mechanism (BWB) option in IEEE 802.6 in our model, mainly because we wanted to show that our analytical model can model the fact that the bandwidth a station receives is a function of its position on the bus. When BWB is introduced, the same Markovian analysis approach can be applied to analyze the effect of this on network performance. Slot re-use is also not considered.

The times of arrival at station  $\{i\}$  of slots on the forward bus and the reverse bus respectively, are not synchronised and the operations described below are performed in the order in which slots arrive on either bus.

Each local queue at any station  $\{i\}$  can be in either one of two states: the *idle* state or the *countdown* state.

A local queue enters the countdown state each time a request is queued, and the idle state when the corresponding data segment has been transmitted. If a local queue is not empty it is considered to be in the countdown state except for very short time intervals between starting the segment transmission and queuing the next request.

The following events and corresponding actions are possible:

**An exogenous priority  $j$  segment  $s_i(j)$  arrives at station  $\{i\}$  for transmission on the forward bus:**

1. If  $D_i(j)$  is in the *idle* state and no request of an data segment, already dispatched, is waiting: Set

$$CD_i(j) \leftarrow R_i(j), \quad R_i(j) \leftarrow 0$$

and  $D_i(j)$  enters the countdown state.

2. If  $D_i(j)$  is in the countdown state:  $s_i(j)$  has to wait in  $D_i(j)$ .

**A priority  $r$  request arrives on the reverse bus:**

3. If  $D_i(j)$  is in the *idle* state
  - if  $r$  is of the same or a higher priority, increase the priority  $j$  request counter for the forward bus at *this* station by 1; i.e.,  $R_i(j) \leftarrow R_i(j) + 1$ ;
  - otherwise do nothing;
4. If  $D_i(j)$  is in the countdown state:
  - if  $r$  is of a higher priority,  $CD_i(j) \leftarrow CD_i(j) + 1$ ;
  - if  $r$  is of the same priority,  $R_i(j) \leftarrow R_i(j) + 1$ ;
  - otherwise do nothing.

**An empty slot arrives on the forward bus:**

5. If  $D_i(j)$  is in the *idle* state:
  - If  $R_i(j) > 0$ , then note the fact that, an outstanding request at priority level  $\{j\}$  at some downstream station will be served by this request, by decreasing  $R_i(j)$  by 1;
  - otherwise do nothing.
6. If  $D_i(j)$  is in the countdown state:
  - if  $CD_i(j) > 0$ , this station is not allowed to seize the empty slot for  $D_i(j)$  and  $CD_i(j)$  is decreased by 1 if the empty slot is allowed to pass;
  - if  $CD_i(j) = 0$ , access the forward bus and transmit segment  $s_i(j)$  and enter the idle state.

## 4 Analytical Model

The schematic diagram in Figure 2 illustrates the service process for segments at any station  $i$  in the network. Segments arrive at the local queue, a single request and its corresponding segment enters the request queue and distributed queue respectively, and are served by the reverse bus and forward bus respectively in time  $\tau_i$ . The delay time  $T_i$  includes the segment waiting time in the local queue which therefor reflects the delay a user with a packet comprising several segments may experience.

The performance measures we use in our analyses are the bus access time and the segment delay time. These we define as follows:

1. *The Mean Bus Access time,  $\bar{\tau}_i$  at station  $\{i\}$ .* This is the time elapsed from the moment a request is queued at the station until the instant both the request and the corresponding segment have left. This time does not include the propagation delay on the bus nor the waiting time in the local queue at  $\{i\}$ .
2. *The Mean Segment Delay time,  $\bar{T}_i$  at station  $\{i\}$ .* This is the time elapsed from the moment a segment arrives at the local queue until the instant it and its corresponding request have left station  $\{i\}$ . It therefore includes the queueing time of a segment at a station and therefore is a good representation of the delay a multi-segment packet may typically experience at a station.

For our analysis we will assume that time is discrete and measured in units of  $\delta$ , the interarrival time of slots at a station and that distance is measured in slot lengths. Since we only consider one priority class the parameter  $j$  is dropped in the following discussion. We moreover assume that,

1. the arrival process of segments at the local queue at station  $\{i\}$  is Poisson with parameter  $\lambda_i$ , and
2. the slot occupancy pattern is determined by a Bernoulli process, and
3. a station has infinite buffer capacity, and
4. the segmentation and reassembly time of messages is negligible.

Recently, Conti *et al.* [2] used a discrete Markov process to model the slot occupancy pattern on the forward bus rather than assume it to be a Bernoulli model as we do. This may well be a better approximation but, our simpler assumption does not detract from the validity of the proposed analytical approach. We show in Section 5 that our model already yields adequate results. If one so wishes, a Markov arrival process model can be used with our proposed method without difficulty.

### M/G/1 model of the segment service process

Since segment arrivals (or equivalently, request arrivals [2]) to the local queue are assumed to be Poisson, we view the combination of the local queue and service process in Figure 2 as an M/G/1 queue. In that case we use the well known Pollaczek-Khinchin equation which relates the

service and turnaround time:

$$\bar{T}_i = \bar{\tau}_i + \frac{\lambda_i \bar{\tau}_i^2}{2(1 - \rho_i)} \quad (1)$$

where  $\rho_i = \bar{\tau}_i \lambda_i$ , as always, and  $\bar{\tau}_i^2$  is the second moment of the bus access time.

In order to compute the moments of the bus access time we derive an ergodic Markov chain model of the bus access process. By modifying this model appropriately to create an absorbing Markov chain, and by using an appropriate starting distribution of that chain, we compute the required first and second moments [9].

### Markov model of the Bus Access Time

In order to understand the description of the Markov chain in this section it is important to fully understand all the sequences of events concerning the arrival and transmission of requests and segments. In our model, a station cannot transmit a segment prior to queueing (as opposed to transmitting) a request for that segment. Referring to Figure 2, once a request has been queued, the request (segment) will be sent independent of whether or not the corresponding segment (request) has already been transmitted. No request for a new segment transmission can be queued at a station, before the previous segment and its corresponding request have been transmitted. Hence the definition of the bus access time as the time elapsed from the instant a request arrives until both the request and its corresponding segment have left the station.

All events at a station  $\{i\}$  and their corresponding probabilities in our analysis are assumed to be synchronised to the *start* of the slot on the *reverse bus*. There may be a phase difference, however, between the forward and reverse buses which will vary from station to station, depending on the location of the station on the bus. In our analysis we assume that a data slot arrives on the forward bus at station  $\{i\}$  a fraction  $\beta_i$ ;  $0 \leq \beta_i < 1$  of a slot length after the arrival of the request slot on the reverse bus. This implies that during one slot interval, it is possible that a segment may arrive, its request be queued and (possibly) transmitted during the same slot interval if a free request bit becomes available. The corresponding segment in turn, may have to wait, or may also be transmitted immediately during the same slot if the data slot arriving a fraction  $\beta_i$  of time later is free. If  $\beta_i = 0$  the request and the segment can obviously not leave in the same slot time.

Under heavy load it is more likely, however, that an arriving segment will find itself at the back of the distributed queue. Let  $p_{i,j}$ ;  $j = 1, \dots, k_i$  be the probability that a new segment for transmission on the forward bus will enter position  $j$  in the distributed queue. The quantity  $k_i$  is the maximum length of the distributed queue seen by station  $\{i\}$ . This value depends upon the following:

- The position of station  $\{i\}$  relative to other stations on the reverse bus. This is clearly so since station  $\{i\}$  can receive requests from all stations  $\{1, \dots, i-1\}$  downstream.
- The distance in slot units from the Head Station of the

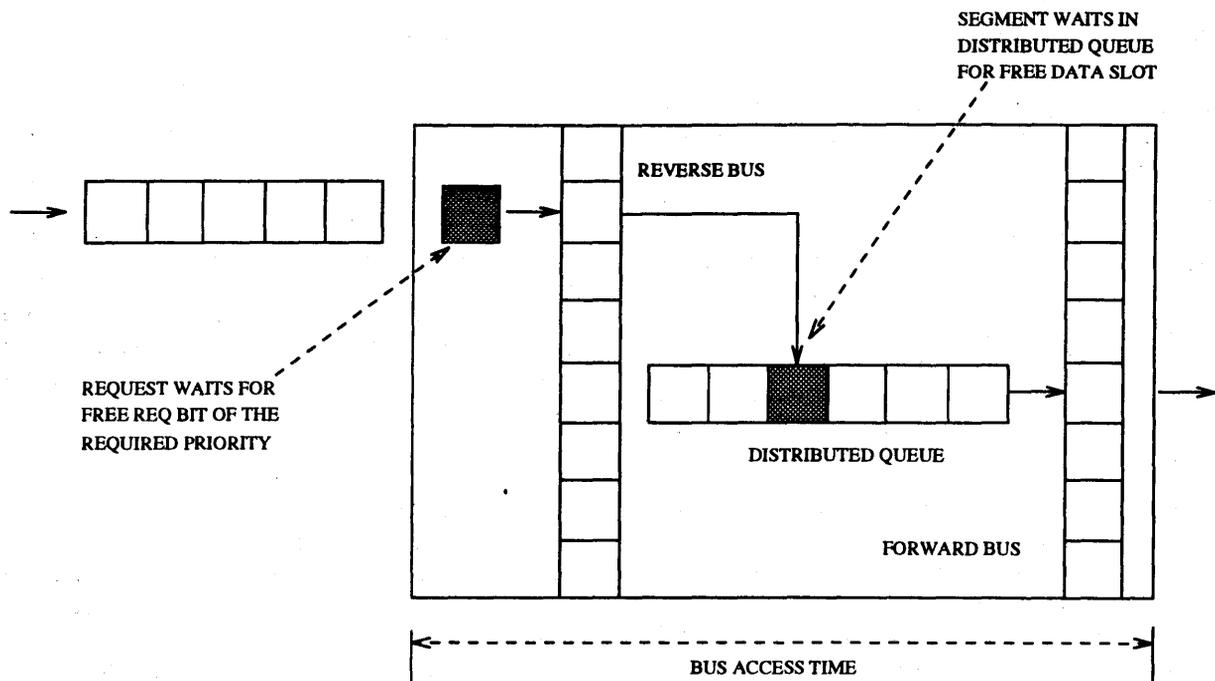


Figure 2. Schematic of segment service process at station  $i$ .

reverse bus to station  $\{i\}$ . The longer this distance, the more likely it is that downstream stations will generate multiple requests per station due to the effect of unfair data slot use.

Note that  $\beta_i$  and  $k_i$  allow us to model this effect of unfair slot usage.

Define the following probabilities for any station  $\{i\}$  at steady state:

$$FR_i = \mathcal{P}\{\text{the slot on the reverse bus is free}\}$$

$$FD_i = \mathcal{P}\{\text{the next slot on the forward bus is free}\}$$

Let  $(u, v)$  be the state descriptor of the Markov chain which describes the events discussed above, and let

- $u = 1$  if the request  $r_i$  is waiting in  $Q_i$  and  $u = 0$ , otherwise.
- $v$  where  $v = 1, 2, \dots, k_i$  is the position of  $s_i$  in the distributed queue.  $v = 0$  indicates that no segment is queued for transmission.

The resultant state transition diagram is illustrated in Figure 3.

The various probabilities  $p_{i,j}^{(1,1)}$ ,  $p_{i,j}^{(0,0)}$  and  $p_{i,j}^{(1,0)}$  where  $j = 0, \dots, k_i$  is the position of the segment in the distributed queue, are given by formulas in Figure 4

For  $p_{i,j}^{(1,0)}$  the probability  $1 - \pi_i(0,0)$  ( $\pi_i(0,0)$  is the probability of an idle station) is used as an approximation for the probability that there is another segment waiting in the local queue, which may use the current data slot. The probabilities  $FR_i$  and  $FD_i$  are as defined above.

Each  $p_{i,j}^{(u,v)}$  specifies the probability of entering state  $(1, j)$  from the upper three black printed dots in Figure 3. The black printed dots are the entry and exit points of an absorbing Markov chain for calculation of the first two moments of the bus access time. The upper index  $(u, v)$  describes the states from where state  $(1, j)$  is entered.

$p_{i,j}^{(1,1)}$  This is the probability of entering state  $(1, j)$  just after leaving state  $(1, 1)$  or  $(0, 1)$  and assuming there is another segment waiting for transmission (with probability  $1 - \pi_i(0,0)$ ). In this case  $p_{i,j}$  describes the probability of entering position  $j$  of the distributed queue (calculated in a later section).

$p_{i,j}^{(0,0)}$  If the local queue is empty, indicated by state  $(0, 0)$ , and a new segment arrives at station  $\{i\}$  (with probability  $\lambda_i$ ), this segment can be transmitted in the same slot interval provided the data slot is free (probability  $FD_i$ ) and station  $\{i\}$  assumes to be in head of the distributed queue (probability  $p_{i,1}$ ) and has the opportunity to see the beginning of the data slot, which surely depends on the point of arrival with respect to  $\delta$ . Now, an arriving segment will see this data slot with probability  $\beta_i$ , because arrivals can happen at any point in time. Thus the probability for entering state  $(1, 0)$  is  $\lambda_i \beta_i FD_i p_{i,1}$ . Similar arguments hold for determining the probabilities  $p_{i,j}^{(0,0)}$  for  $j > 0$ . If a new segment arrives after recognition of the beginning of a free data slot (probability  $1 - \beta_i FD_i$ ), it will enter position  $j$  given by its request counter. If the segment arrives early, thus seeing the beginning of a data slot, it will decrease the countdown counter provided the data slot is free. This all will happen with probability  $\beta_i FD_i$ . Thus it will enter position  $j$  of the distributed queue, although the request counter contains  $j + 1$  at the point of queuing the segment.

$p_{i,j}^{(1,0)}$  These probabilities are little bit more tricky. Leaving state  $(1, 0)$ , there is a possibility of a segment arrival in the time interval  $\delta$ .<sup>2</sup> The probability of this case happening can be approximated by  $\pi_i(0,0)$ . This newly arriving segment will see a free data slot with probabil-

<sup>2</sup>Note that all states describe the situation at station  $\{i\}$  at the beginning of a slot arrival on the reverse bus.

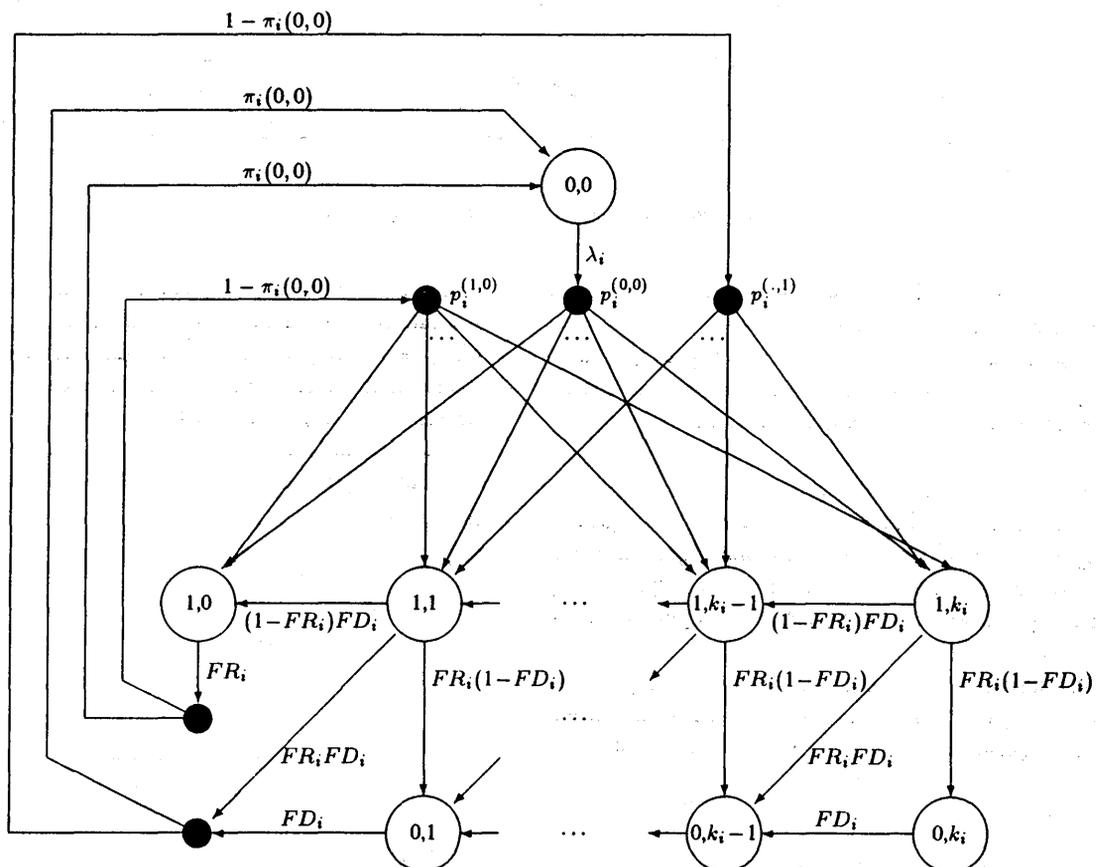


Figure 3. State transition diagram of the Markov model at station  $\{i\}$ .

$$p_{i,j}^{(\cdot,1)} = \begin{cases} 0 & j = 0 \\ p_{i,j} & 0 < j \leq k_i \end{cases} \quad (2)$$

$$p_{i,j}^{(0,0)} = \begin{cases} \beta_i F D_i p_{i,1} & j = 0 \\ (1 - \beta_i F D_i) p_{i,j} + \beta_i F D_i p_{i,j+1} & 0 < j < k_i - 1 \\ (1 - \beta_i F D_i) p_{i,k_i} & j = k_i \end{cases} \quad (3)$$

$$p_{i,j}^{(1,0)} = \begin{cases} (1 - \pi_i(0,0)) F D_i p_{i,1} + \pi_i(0,0) \beta_i F D_i p_{i,1} & j = 0 \\ (1 - \pi_i(0,0)) ((1 - F D_i) p_{i,j} + F D_i p_{i,j+1}) + \pi_i(0,0) ((1 - \beta_i F D_i) p_{i,j} + \beta_i F D_i p_{i,j+1}) & 0 < j < k_i \\ (1 - \pi_i(0,0)) (1 - F D_i) p_{i,k_i} + \pi_i(0,0) (1 - \beta_i F D_i) p_{i,k_i} & j = k_i \end{cases} \quad (4)$$

Figure 4. Transition probabilities of the Markov chain

ity  $\beta_i F D_i$ , thus entering position  $j$  of the distributed queue provided the value of the request counter was  $j + 1$ . If the request counter was 1 the newly arrived segment is transmitted in the considered interval causing a re-entrance to  $(1, 0)$ . With the complementary probability  $(1 - \pi_i(0, 0))$  an already waiting segment will surely see the data slot and use it with probability  $F D_i$ .

If the request counter is greater than 1 ( $j > 0$ ) a new arriving segment (probability  $\pi_i(0, 0)$ ) moves forward in the distributed queue with probability  $\beta_i F D_i$  or stay in its position given by the request counter at the point of arrival with probability  $1 - \beta_i F D_i$ . In the other case (probability  $1 - \pi_i(0, 0)$ ) the following segment

recognizes the data slot, thus moving forward in the distributed queue with probability  $F D_i$  or remaining in its position with probability  $1 - F D_i$ .

The probabilities of leaving a state  $(u, v)$  are straightforward. In a state  $(1, j)$ ,  $j > 0$ , a request can be transmitted and/or the segment advances in the distributed queue. E.g. the probability that these events both occur in the same interval  $\delta$  is given by  $F R_i F D_i$ .

The transition probabilities of the complete Markov chain are given by multiplication of the above described probabilities attached to the arc from a state to its successor state.

Note that since the transition probabilities from states  $(1, 0)$ ,  $(1, 1)$  and  $(0, 1)$  depend upon  $\pi_i(0, 0)$ , we have

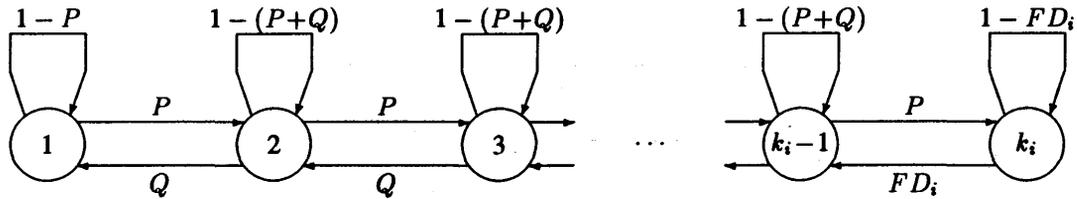


Figure 5. Markov chain model of countdown and request counter at station  $\{i\}$ .

to use an iterative solution method for the steady state distribution of the Markov chain.

The probabilities  $FR_i$  and  $FD_i$  as well as the probabilities  $p_{i,j}$ ;  $j = 1, \dots, k_i$  at every station  $\{i\}$  are parameters of the Markov model. We compute those next.

#### The probabilities $FR_i$ and $FD_i$

Since we assume ergodicity the mean number of segments arriving at station  $\{i\}$  (per unit time) must equal the mean number of served (transmitted) requests or, equivalently, the mean number of served (transmitted) segments on the forward bus.

Thus station  $\{i\}$  will receive the service capacity of station  $\{i-1\}$  on the reverse bus minus the mean number of served requests at station  $\{i-1\}$ . In other words,

$$FR_i = FR_{i-1} - \lambda_{i-1}, \quad i = 2, \dots, N \quad (5)$$

One can also prove this from the fact that

$$FR_i = FR_{i-1} \left[ 1 - \sum_{j=0}^{k_i} \pi_{i-1}(1, j) \right], \quad i = 2, \dots, N.$$

Note that  $FR_1 = 1$ .

A similar argument holds for the probability  $FD_i$ :

$$FD_i = FD_{i+1} - \lambda_{i+1}, \quad i = 1, \dots, N-1 \quad (6)$$

and  $FD_N = \alpha$  is the fraction of slots dedicated to QA-traffic.

#### The probabilities $p_{i,j}$

The probabilities  $p_{i,j}$ ,  $j = 1, 2, \dots, k_i$  can be computed from the Markov chain illustrated in Figure 5, where the state space in that case is the combined value of the countdown and request counter  $CD_i + R_i + 1$  at station  $\{i\}$ . Again,  $k_i$  is the maximum length of the distributed queue seen at station  $\{i\}$ . In Figure 5 we have written  $P = (1 - FR_i)(1 - FD_i)$  and  $Q = FD_i FR_i$ .

The steady state probability  $p_{i,j}$  of a state  $j$  in this Markov chain is an approximation of the probability that a segment at the time queueing its request will be in position  $j$  of the distributed queue with respect to its local information.

The states of the Markov chain describe the sum of request and countdown counter, because this sum will change independently of a segment being queued at station  $\{i\}$  or not. Upon queueing the request of a new segment  $CD_i$  is zero and state  $j$  indicates the position of this segment in the distributed queue.

The transition probabilities of the Markov chain are

determined by the DQDB protocol. If an occupied request slot and no free data slot arrive at station  $\{i\}$  (with probability  $(1 - FR_i)(1 - FD_i)$ ) the sum increases and in case of arrival of a free request slot and a free data slot, this sum decreases (with probability  $FR_i FD_i$ ).

The steady state distribution  $p_{i,j}$  can be easily calculated and is given by:

if  $k_i \geq 3$ :

$$p_{i,j} = \begin{cases} F_i^{j-1} p_{i,1}, & j = 1, \dots, k_i - 1 \\ FR_i F_i^{j-1} p_{i,1}, & j = k_i \end{cases} \quad (7)$$

where

$$p_{i,1} = \frac{1 - F_i}{1 + \left( \frac{FR_{i-1}}{FD_i} \right) F_i^{k_i}} \quad (8)$$

with

$$F_i = \frac{(1 - FR_i)(1 - FD_i)}{FD_i FR_i} \quad (9)$$

if  $k_i = 2$ :

$$p_{i,1} = \frac{FD_i}{FD_i + P}, \quad p_{i,2} = \frac{P}{FD_i + P}$$

if  $k_i = 1$ :  $p_{i,1} = 1$ .

The only parameter of the Markov chain still to be determined is  $k_i$ . We have mentioned earlier that this parameter depends on the location of a station, given by its index, and on the length of the bus measured in slot units.

If we consider large networks where the distance between stations is several slots, which is a realistic assumption, we can practically determine  $k_i$  by the following heuristic. In Eq. (8)  $k_i$  influences the expression  $F_i^{k_i}$  and thus the probabilities  $p_{i,1}$  and  $p_{i,j}$  respectively. If  $F_i^{k_i}$  gets very small, which is eventually the case for  $F_i < 1$ , the probability  $p_{i,k_i}$  is negligible and a further increase of  $k_i$  will not change the steady state distribution significantly. So  $k_i$  can be calculated from  $F_i^{k_i} < \epsilon$  for small  $\epsilon$ , if  $F_i < 1$ . Now  $F_i < 1 \iff FR_i + FD_i > 1$ . Eq. (5) implies

$$FR_i = FR_1 - \sum_{k=1}^{i-1} \lambda_k = 1 - \sum_{k=1}^{i-1} \lambda_k$$

and analogously from Eq. (6) we get

$$FD_i = FD_N - \sum_{k=i+1}^N \lambda_k = \alpha - \sum_{k=i+1}^N \lambda_k$$

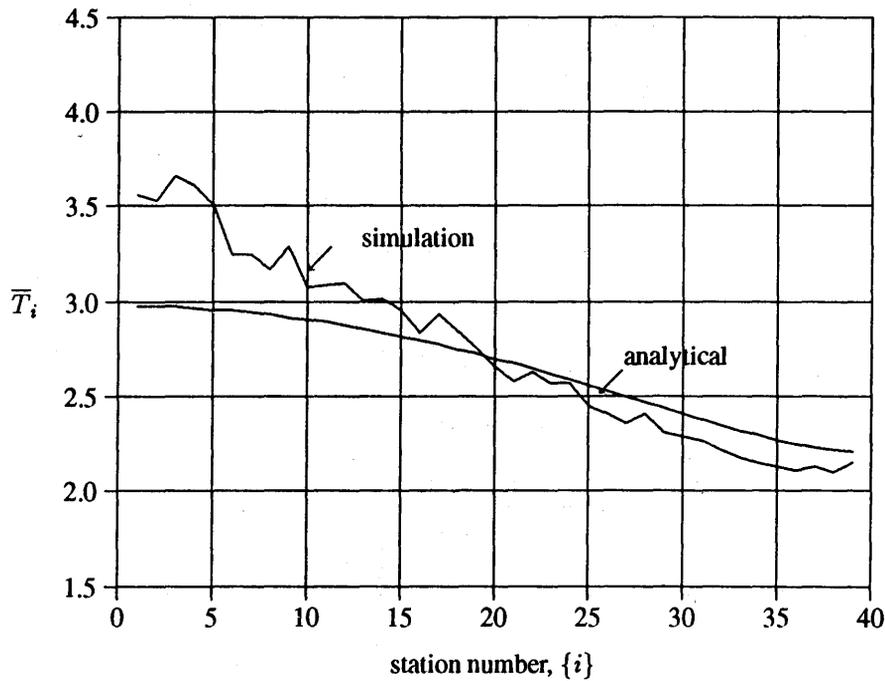


Figure 6. Model validation for bus a utilisation of 40% and 70% QA-slot availability.

Thus

$$FR_i + FD_i = (1 + \alpha) - \sum_{\substack{k=1 \\ k \neq i}}^N \lambda_k$$

In steady state  $FD_1 > 0$  holds, which implies  $\alpha > \sum_{k=2}^N \lambda_k$  and so

$$FR_i + FD_i > 1 + \sum_{k=2}^N \lambda_k - \sum_{\substack{k=1 \\ k \neq i}}^N \lambda_k$$

$$= \begin{cases} 1 & : i = 1 \\ 1 + (\lambda_i - \lambda_1) & : i \neq 1 \end{cases}$$

Now  $(\lambda_i - \lambda_1) > 0$  is a reasonable assumption, because station  $i$  ( $i > 1$ ) will naturally send more segments on the forward bus than station 1, because it has more possible receivers in that direction.

Therefore  $k_i$  can be calculated from  $F_i^{k_i} < \epsilon$  giving  $k_i > \frac{\log(\epsilon)}{\log(F_i)}$ . So choosing

$$k_i = \frac{\log(\epsilon)}{\log(F_i)} + 1$$

will lead to reasonable results for large networks.

## 5 Results

Unless indicated otherwise, we used a uniform network load in our experiments, given by

$$\lambda_i = \frac{2i\lambda}{N(N+1)} \quad (10)$$

Note that station  $\{0\}$  does not contribute to load on the forward bus, for there is no station to communicate with.

All results are for the performance of a single bus only. Since the two buses are assumed to be identical (which the model does not insist upon) one can easily compute the effect of the combined traffic.

Note, as well, that the advantage of our analytical model is the fact that one can model a DQDB network with a very large number of stations. Results can be computed for any number of stations. In the following we give results for  $N$  up to 100. It should be clear, however, that this choice is arbitrary.

### Model validation

In order to validate our analytical model we developed a simulation of a DQDB network using the modelling tool HIT [1]. Simulation is expensive of computing time, and as is the case with most DQDB simulation models reported in the literature [3, 5, 6, 12], we had to limit the number of stations to a manageable number of 39.

For the same reason we had to restrict the choice of parameter values and load profiles to a manageable number. For instance, we kept  $\beta$  constant at a value of 0.5 in all the experiments. Figure 6 shows the results for a bus utilisation of 40% and 70% QA-slot availability. The analytical results reflect, at worst, an error of 18.6 percent of the simulated value at all stations. The mean absolute error, however, is 8.8% which, considering the assumptions of the analytical model, is very reasonable. Figure 7 considers the case of a bus utilisation of 90% and 100% QA-slot availability. It is evident that for stations 1 through 29 the mean error of the simulated value is 6.8% and at worst 22 percent.

In the latter case, and for stations at the end of the bus, however, the analytical results underestimated the segment delay time. An explanation for this phenomenon is given in the following section.

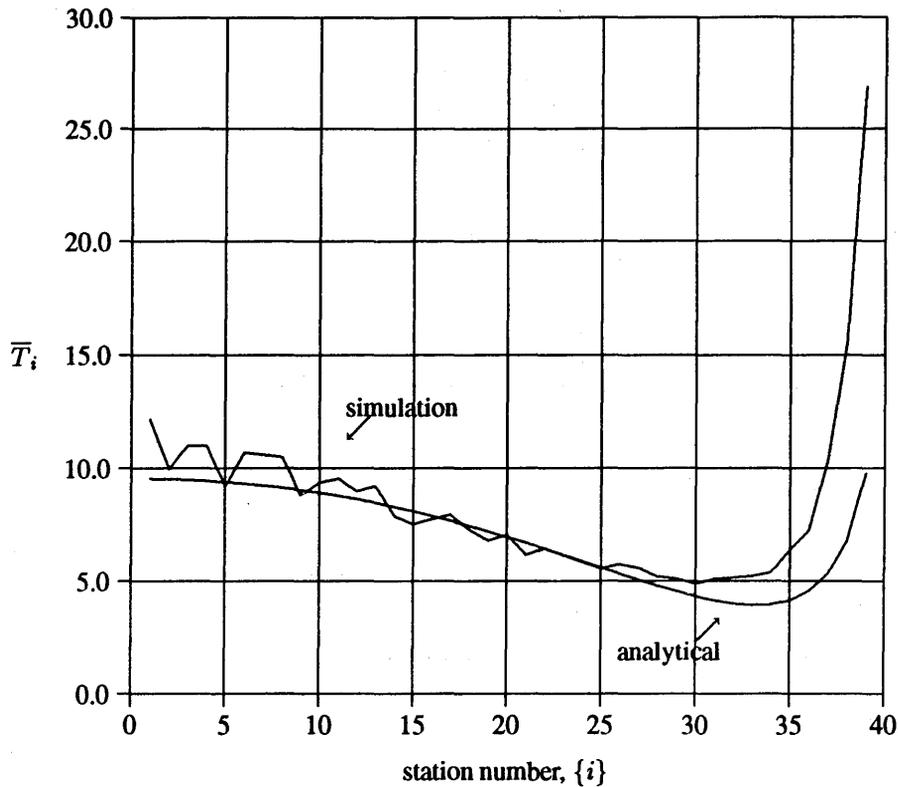


Figure 7. Model validation for bus a utilisation of 90% and 100% QA-slot availability.

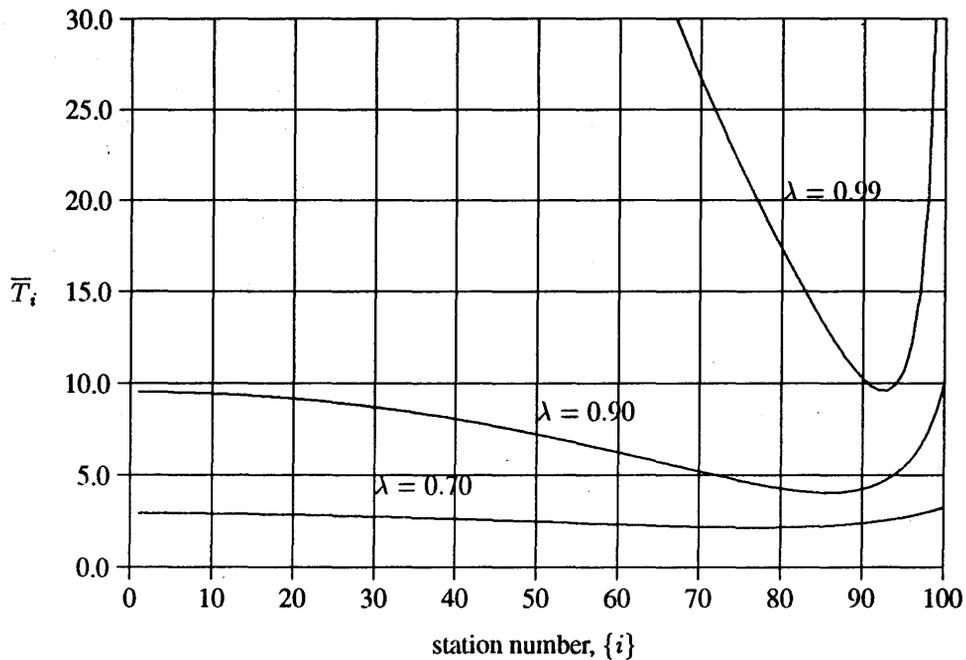


Figure 8. Effect of bus utilizations ( $\lambda$ ) on  $\bar{T}_i$ .

In the next four sections we give the results of experiments where we considered various parameter values or load scenarios to illustrate typical uses of the analytical model.

#### Delay time as a function of bus utilisation

Figure 8 illustrates the analytical results for 100 percent QA-slot availability and various bus utilizations. In each case the network traffic was as described by Eq. (10) above.

The segment delay time  $\bar{T}_i$  increases with higher bus

utilisation as is to be expected. For any one utilisation however, the delay time gradually decreases towards the end of the bus and then increases sharply. This phenomenon, confirmed by our various simulation experiments, is identical to that observed by Jacquet [8] for high utilizations.

This particular behaviour is explained by the fact that the probability that a segment which arrives at the distributed queue will discover many segments ahead of itself, is directly proportional to the value  $F_i$  [cf. Eq. (9)]. In Figure 9 we have plotted this value  $F_i$  versus the station

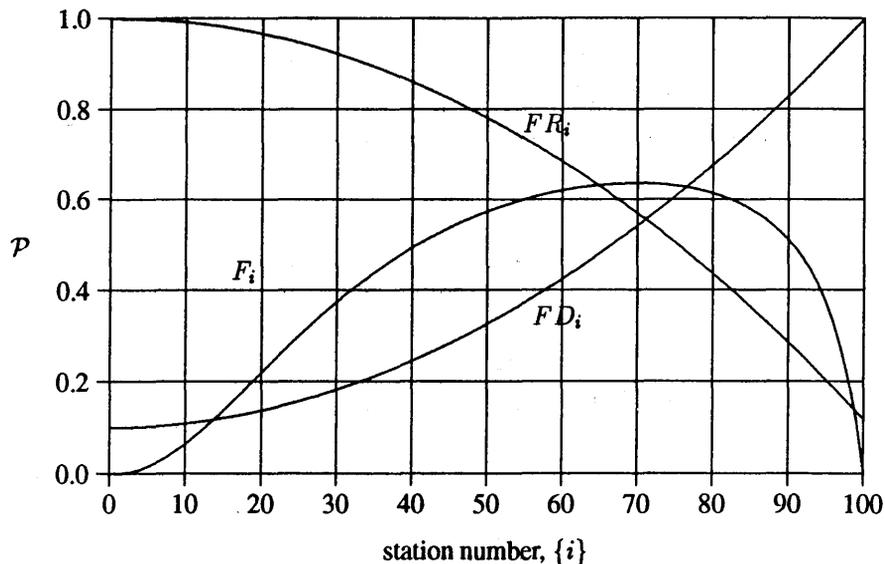


Figure 9. Explaining the observed performance of the DQDB network.

number  $\{i\}$  for a bus utilisation of 90 percent and  $\alpha = 1.0$ .

A higher value of  $F_i$  due to increased  $\lambda$  and hence smaller values of  $F R_i$  and  $F D_i$ , implies that segments at station  $\{i\}$  have longer to wait in the distributed queue. When  $F_i$  decreases sharply towards the end of the bus, however, the lower value of  $F_i$  is offset by the increased delay experienced by requests waiting for a free request bit, since near the end of the bus,  $F R_i \ll 1$ . This effect is accentuated by the load scenario used in our experiments, where stations near the end of the bus have the most segments to send.

#### Delay time as a function of QA-slot availability

We next tested the model for sensitivity towards the availability of QA-slots. The results for  $\alpha = 1.0, 0.925$  and  $0.91$  are illustrated in Figure 10.

From Figure 10 it is clear that the effect of a lower QA-slot rate is to accentuate the characteristic behaviour of segment delay time as a function of station position on the bus. Comparing the curves of  $F_i$  in Figure 9 for  $\alpha = 0.91$  and  $\alpha = 1.0$  we note that at any station  $\{i\}$  for  $i = 1, \dots, 40$  (say), the value of  $F_i$  is much higher in the case of  $\alpha = 0.910$  and segments are more likely find themselves in a longer distributed queue than would be the case for  $\alpha = 1.0$ . Towards the end of the bus the effect of a low value of  $F R_i$  is again dominant as mentioned above.

The authors have seen only one previous attempt [13], to include the effects of QA-slot availability on network behaviour in an analytical model.

#### Non-uniform network load

In Figure 11 the effect of high traffic rates at one station (such as a file server) on the performance of the other stations on the network is illustrated. The traffic intensity was kept constant at all stations except at the one arbitrarily chosen station (number 15), where the arrival rate of segments was increased as illustrated. QA-slot availability was 100% and bus utilisation was chosen very high at 90%. The model accurately reflects the inevitable queue

which develops downstream from a very busy station. By the time the end of the bus is reached however, the delays experienced by segments at those stations is as bad as that at the stations downstream from the one busy station.

## 6 Conclusions

The DQDB MAC protocol is deceptively simple and devising an analytical model that is computationally tractable and which can describe the operation of a DQDB network accurately has evaded researchers to date. In this paper, however, we describe and analyse such a model using Markovian analysis techniques. The model is computationally tractable and accounts for network issues such as relative station position, QA-slot rate and relative phase difference between request bus and data bus.

An important discovery we made during the course of our experimentation, is that at high bus utilisations the relative phase shift between the forward and reverse buses are unimportant, even for very large networks. This result we verified by simulation for a 40 station network and analytically for network of up to a 100 stations.

Equally interesting is the effect of the availability rate of QA-slots on the forward bus. Although it is clear that a lower slot availability will increase the delay times, the performance of individual stations along the bus would seem to be more sensitive to this parameter than to bus utilisation.

A distinct shortcoming of the model is the fact that the absolute position (in say, slot distances) of any one station from the Head Station or from each other are not represented. For large networks and under steady state analysis this omission would appear not to matter that much. Nevertheless, we believe the analysis techniques presented here may be adapted for such a model.

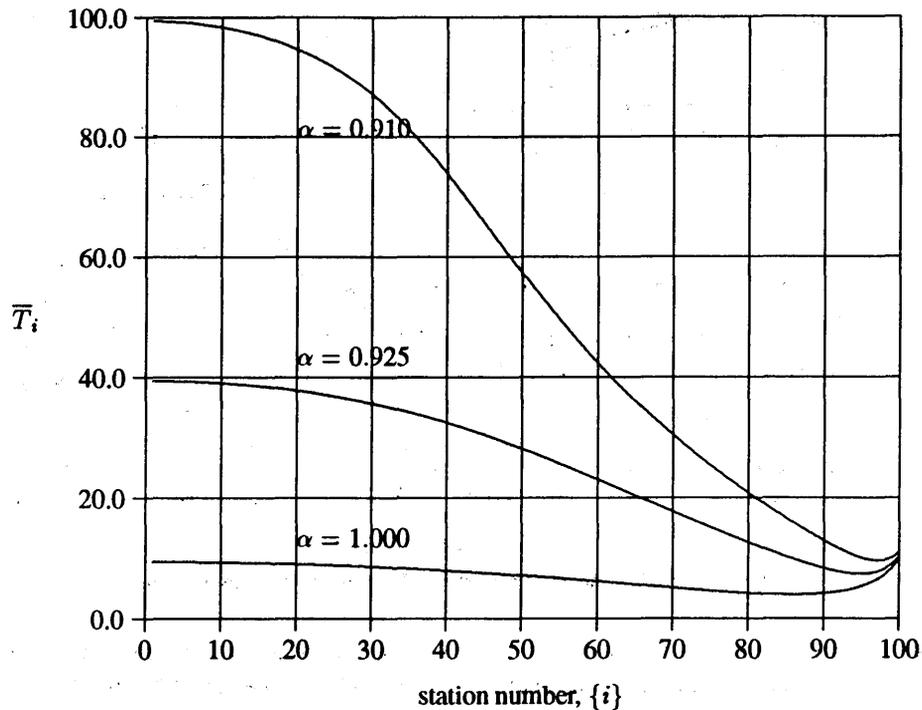


Figure 10. Effect of QA-slot availability ( $\alpha$ ) on  $\bar{T}_i$ .

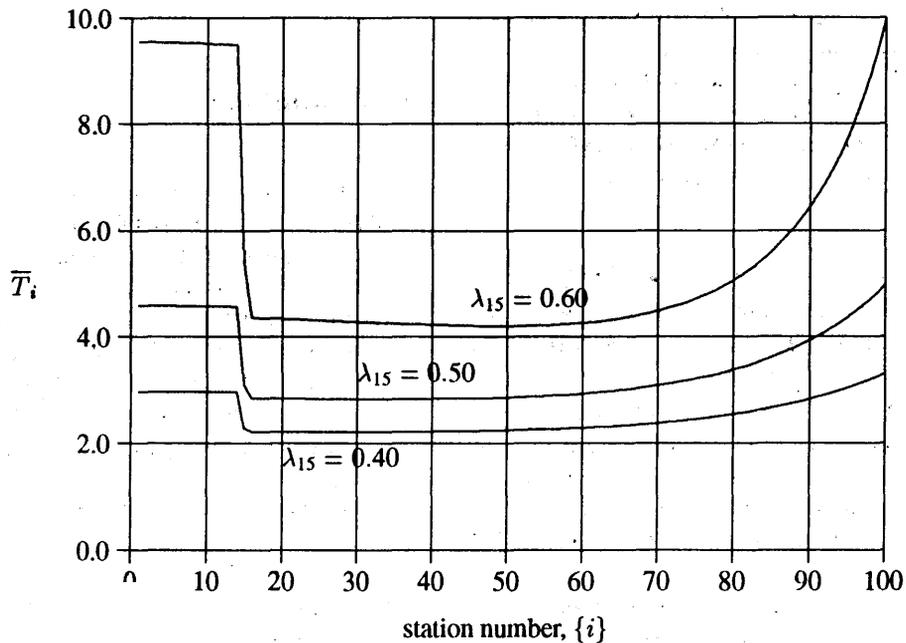


Figure 11. Effect of non-uniform load.

## References

1. H Beilner *et al.* 'Towards a performance modelling environment: News on HIT'. In R Puigjaner, ed., *Proceedings of the 4th International Conference on Modelling Techniques and Tools*, (1988).
2. M Conti, E Gregori, and L Lenzi. 'On the approximation of the slot occupancy pattern in a DQDB network'. *Performance Evaluation*, **16**:159-176, (1992).
3. B Doshi and A Fredericks. 'Visual modelling and analysis with application to the DQDB protocol'. *Computer Networks and ISDN Systems*, **20**:241-251, (1990).
4. M Ferguson. 'Towards formal structures for IEEE 802.6'. In *Proceedings of NATO Advanced Research Workshop, Sophia Antipolis, France*, (June 1990).
5. L Fratta *et al.* 'DQDB performance under multiplexed bursty traffic'. In *Proceedings of IEEE Int. Conf. Commun. (ICC) '91, Denver, Colorado*, pp. 1056-1061, (June 1991).
6. E Hahne, A Choudhury, and N Maxemchuk. 'Improving the fairness of DQDB networks'. In *IEEE INFOCOM '90*, pp. 175-184, (June 1990).
7. *IEEE Standard: Distributed Queue Dual Bus (DQDB) Metropolitan Area Network*, 1990.
8. P Jacquet. 'An analytical model for the high speed

protocol QPSX'. Technical Report 1141, Institut National de Recherche en Informatique et en Automatique, France, (1989).

9. J Kemeny and J Snell. *Finite Markov Chains*. Springer-Verlag, New York, 1960.
10. B Mukherjee and S Banerjee. 'Alternative strategies for fairness in an analytical model of DQDB networks'. In *Proceedings of INFOCOM'91, Bal Harbour, Florida*, pp. 879-687, (1991).
11. P Potter and M Zukerman. 'A discrete Shared Processor model for DQDB'. *Computer Networks and ISDN Systems*, **20**:217-222, (1990).
12. K Sauer and W Schodl. 'Performance aspects of the DQDB protocol'. *Computer Networks and ISDN Systems*, **20**:253-260, (1990).
13. P Tran-Gia and T Stock. 'Approximate performance analysis of the DQDB access protocol'. *Computer Networks and ISDN Systems*, **20**:231-240, (1990).
14. J Wong. 'Throughput of DQDB networks under heavy load'. In *Proceedings of European Fibre Optics Communications and LAN Exposition*, pp. 146-151, (June 1989).

## Notes for Contributors

The prime purpose of the journal is to publish original research papers in the fields of Computer Science and Information Systems, as well as shorter technical research papers. However, non-refereed review and exploratory articles of interest to the journal's readers will be considered for publication under sections marked as Communications or Viewpoints. While English is the preferred language of the journal, papers in Afrikaans will also be accepted. Typed manuscripts for review should be submitted in triplicate to the editor.

### Form of Manuscript

Manuscripts for *review* should be prepared according to the following guidelines.

- Use wide margins and 1½ or double spacing.
- The first page should include:
  - title (as brief as possible);
  - author's initials and surname;
  - author's affiliation and address;
  - an abstract of less than 200 words;
  - an appropriate keyword list;
  - a list of relevant Computing Review Categories.
- Tables and figures should be numbered and titled. Figures should be submitted as original line drawings/printouts, and not photocopies.
- References should be listed at the end of the text in alphabetic order of the (first) author's surname, and should be cited in the text in square brackets [1–3]. References should take the form shown at the end of these notes.

Manuscripts accepted for publication should comply with the above guidelines (except for the spacing requirements), and may be provided in one of the following formats (listed in order of preference):

1. As (a) L<sup>A</sup>T<sub>E</sub>X file(s), either on a diskette, or via e-mail/ftp – a L<sup>A</sup>T<sub>E</sub>X style file is available from the production editor;
2. As an ASCII file accompanied by a hard-copy showing formatting intentions:
  - Tables and figures should be on separate sheets of paper, clearly numbered on the back and ready for cutting and pasting. Figure titles should appear in the text where the figures are to be placed.
  - Mathematical and other symbols may be either handwritten or typed. Greek letters and unusual symbols should be identified in the margin, if they are not clear in the text.

Further instructions on how to reduce page charges can be obtained from the production editor.

3. In camera-ready format – a detailed page specification is available from the production editor;
4. In a typed form, suitable for scanning.

### Charges

Charges per final page will be levied on papers accepted for publication. They will be scaled to reflect scanning, typesetting, reproduction and other costs. Currently, the minimum rate is R30-00 per final page for L<sup>A</sup>T<sub>E</sub>X or camera-ready contributions and the maximum is R120-00 per page for contributions in typed format (charges include VAT).

These charges may be waived upon request of the author and at the discretion of the editor.

### Proofs

Proofs of accepted papers in categories 2 and 4 above will be sent to the author to ensure that typesetting is correct, and not for addition of new material or major amendments to the text. Corrected proofs should be returned to the production editor within three days.

Note that, in the case of camera-ready submissions, it is the author's responsibility to ensure that such submissions are error-free. However, the editor may recommend minor typesetting changes to be made before publication.

### Letters and Communications

Letters to the editor are welcomed. They should be signed, and should be limited to less than about 500 words.

Announcements and communications of interest to the readership will be considered for publication in a separate section of the journal. Communications may also reflect minor research contributions. However, such communications will not be refereed and will not be deemed as fully-fledged publications for state subsidy purposes.

### Book reviews

Contributions in this regard will be welcomed. Views and opinions expressed in such reviews should, however, be regarded as those of the reviewer alone.

### Advertisement

Placement of advertisements at R1000-00 per full page per issue and R500-00 per half page per issue will be considered. These charges exclude specialized production costs which will be borne by the advertiser. Enquiries should be directed to the editor.

### References

1. E Ashcroft and Z Manna. 'The translation of 'goto' programs to 'while' programs'. In *Proceedings of IFIP Congress 71*, pp. 250–255, Amsterdam, (1972). North-Holland.
2. C Bohm and G Jacopini. 'Flow diagrams, turing machines and languages with only two formation rules'. *Communications of the ACM*, 9:366–371, (1966).
3. S Ginsburg. *Mathematical theory of context free languages*. McGraw Hill, New York, 1966.

---

# Contents

## GUEST CONTRIBUTIONS

Ideologies of Information Systems and Technology <b>LD Introna</b> . . . . .	1
What is Information Systems? <b>TD Crossman</b> . . . . .	7

---

## RESEARCH ARTICLES

Intelligent Production Scheduling: A Survey of Current Techniques and An Application in The Footwear Industry <b>V Ram</b> . . . . .	11
Effect of System and Team Size on 4GL Software Development Productivity <b>GR Finnie and GE Wittig</b> . . . . .	18
EDI in South Africa: An Assessment of the Costs and Benefits <b>G Harrington</b> . . . . .	26
Metadata and Security Management in a Persistent Store <b>S Berman</b> . . . . .	39
Markovian Analysis of DQDB MAC Protocol <b>F Bause, P Kritzinger and M Sczittnick</b> . . . . .	47

---

## TECHNICAL NOTE

An evaluation of substring algorithms that determine similarity between surnames <b>G de V de Kock and C du Plessis</b> . . . . .	58
--	----

---

## COMMUNICATIONS AND REPORTS

Ensuring Successful IT Utilisation in Developing Countries <b>BR Gardner</b> . . . . .	63
Information Technology Training in Organisations: A Replication <b>R Roets</b> . . . . .	68
The Object-Oriented Paradigm: Uncertainties and Insecurities <b>SR Schach</b> . . . . .	77
A Survey of Information Authentication Techniques <b>WB Smuts</b> . . . . .	84
Parallel Execution Strategies for Conventional Logic Programs: A Review <b>PEN Lutu</b> . . . . .	91
The FRD Special Programme on Collaborative Software Research and Development: Draft Call for Proposals . . . . .	99
Book review . . . . .	102

---