

# Unisa Institutional Repository (Unisa IR) Guidelines for Submissions

# About the Unisa Institutional Repository

The Unisa Institutional Repository is an open digital archive of scholarly information and research outputs of the University of South Africa.

# Its objectives are

- · Visibility of African scholarship worldwide
- · Open access to research information
- · Long term digital preservation
- · Self-archiving of research outputs
- · Sharing of learing resources across institutions

# The collections consist of

- · Theses and dissertations
- · Research articles
- · Conference papers
- · Presentations and speeches
- · Research reports
- · Special archival materials
- · ... and many more

http://uir.unisa.ac.za uir@unisa.ac.za

© University of South Africa



# Overview

These guidelines introduce the submission function of the Unisa Institutional Repository (Unisa IR).

Before submitting items to the Unisa IR you need to know what it is and how it advances research. Submissions to the Unisa IR have to comply with the scope of the database and with certain predefined standards. Therefore items need to be prepared in a specific manner. Certain submission criteria apply. You need to know what types of materials are included or excluded from the scope of the repository and these guidelines will introduce you to the scope, objectives, submission criteria, copyright and open access. Thereafter, the submission process will be introduced to you in an online practical session.

The objectives of these guidelines are as follows

- To explain what is meant by an institutional repository (IR);
- To inform you of the benefits of the IR to scholarly authors and researchers;
- To outline the scope and submission criteria of the Unisa IR;
- To explain copyright and open access principles within the IR;
- To provide a step-by-step guide for the submission of items to the Unisa IR.

# What is the Unisa IR?

The Unisa IR is an open access digital repository of the research and intellectual outputs of the Unisa Community. It includes, but is not limited to, articles, working papers, research reports, speeches, conference papers, and other artefacts created by the Unisa Community.

It is a publicly accessible repository (archive) where all the work published by researchers/authors affiliated with the university/academy can be posted online. It contributes to the status of the institution by displaying the intellectual output of the institution.

All work is deposited in the repository by using interoperable software, which allows the works in the repositories to be searched and harvested. Such software is called Open Archives Initiative (OAI) compliant. Examples of OAI compliant software are DSpace, E-Prints, CDSware, i-Tor, and MyCoRe.

# What are the objectives of the Unisa IR?

- Dissemination and management of Unisa research and intellectual outputs
- Open access to research information
- · Long term digital preservation
- Self-archiving of research outputs
- Visibility of African scholarship worldwide.

# What are the benefits of the Unisa IR for research?

- Increased global usage, visibility and impact of Unisa and of African scholarship;
- Tool for assessment of researchers and academics and also for peer reviewing;
- Self-archiving of research not published in accredited journals;
- One-stop collection of Unisa's research and intellectual production:
- Sharing of knowledge internationally;
- Open access to all, including those who cannot afford journal subscriptions;
- Interoperability with other repositories;
- Agreements with publishers;
- · Persistent URLs allow stable access to research information.



# What are the submission criteria?

- The work must be produced by the Unisa Community or donated to Unisa;
- The work must be scholarly or research oriented;
- Research articles should be peer reviewed;
- The work must not be ephemeral:
- The motivation for submission should be long-term preservation;
- The work must be in a digital format;
- The work should be complete and ready for publication;
- Copyright permission for all items submitted to the Unisa IR must, where necessary, be obtained in advance.

# What types of material will be included?

- Articles and papers published in journals;
- Pre-prints and post-prints of papers published in journals:
- Theses:
- Books and chapters in books;
- · Conference papers and posters;
- Working papers;
- Audio-visual materials, e.g. images, sound recordings, videos;
- Journals of the institution;
- Research datasets:
- · Digital versions of artifacts and paintings.

# What types of material will be excluded?

- Administrative material, e.g. annual reports and minutes of meetings;
- Personal information;
- Commercial material;
- Temporary learning objects, e.g. tutorial letters, full text digital articles and digital images of a temporary nature, e.g. articles on the Library e-Reserves;
- If plagiarism has been proven or copyright has been violated;
- If exclusion or removal has been approved by Senate.

# How do copyright principles apply to the Unisa IR?

- All items in the Unisa IR are subject to the South African Copyright Act No. 98 of 1978 (as amended);
- Unisa is not liable for any legal action resulting from submissions that infringe on another party's copyright;
- The copyright owner must grant the right to preserve and distribute work via the Unisa IR to Unisa:
- It is the responsibility of submitters to obtain distribution licenses where necessary and to familiarize themselves with the self-archiving policies of the various national and international publishers;
- Where necessary, and upon request, the Unisa Library will assist submitters in the pursuit of distribution licenses. Please contact your Personal Librarian for assistance in this regard.



# What is "open access"?

Open access is the availability of peer reviewed literature on the public internet, which permits any user to read, download, copy, distribute, print, or link, with the possibility of searching for the full-texts of these articles, crawl them for indexing, pass them as data software, or use them for any other lawful purpose, without financial, legal or technical barriers other than those inseparable from gaining access to the internet itself.

#### Characteristics of Open Access:

- The publisher charges directly for the service of publication/ dissemination Costs covered by 'article processing charge' (APC)
- No barriers to access such as subscription costs
- Research immediately and permanently available via the Internet citations
- Licensed so as to allow redistribution and reuse (Creative Commons)
- Archived permanently in an internationally recognized repository (e.g. PubMed Central/WDS etc) in multiple locations to ensure long term access (DOI's)

# Gold Open Access

• Article processing charges for the article to be published freely available, for example BioMed Central, Springer Open, Wiley's Open Access Journals, OASIS OpenJournals

# Green Open Access

Self-archiving – author archive the manuscript in a repository

- Post-print, pre-print or publisher's version
- Embargo period could apply

# How do open access principles apply to the Unisa IR?

Access to the full-text of articles is subject to permission from the publisher. Access will not be provided if permission is in doubt or not available. In such cases, an abstract will be made available for external Internet searches so that maximum research visibility can be achieved. Access to the full text will be suppressed for a period if such an embargo is prescribed by the publisher or funder.

Unisa strongly recommends that transfer of copyright be avoided.

Researchers are encouraged to negotiate copyright terms with publishers if they do not allow archiving, reuse or sharing. This can be done by adding an author addendum (see Appendix A) to a publishing contract.



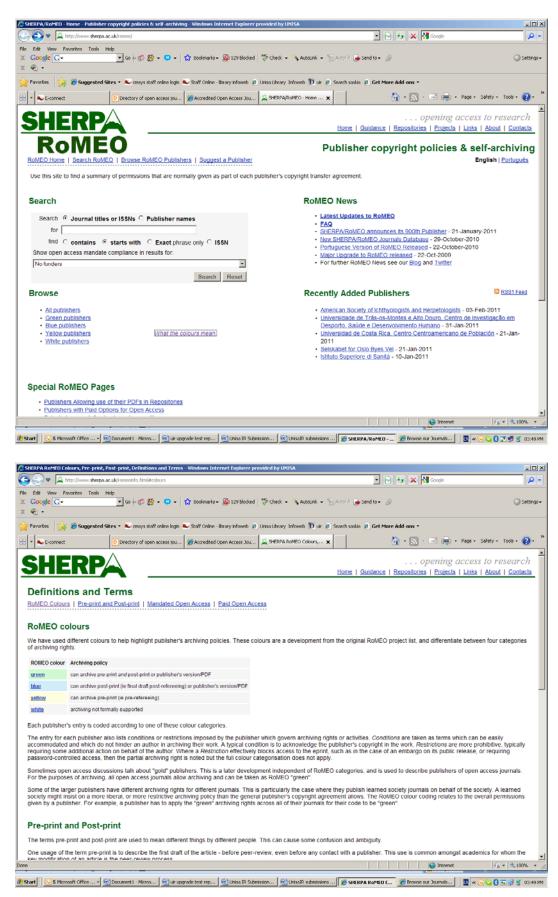
Authors are encouraged to publish their research articles in accredited open access journals.

The Directory of Open Access Journals is an international list of open access journals



The <u>SHERPA ROMEO</u> website can be consulted for the copyright and self-archiving policies of publishers and journals.







Examples of Open Access Publishers of accredited journals:



http://www.aosis.co.za/publishing



https://www.biomedcentral.com/



Scientific Electronic Library Online

http://www.scielo.org.za/



# How to prepare your item for submission

If you are not the copyright owner, it is essential that you obtain permission to make your item available on the Unisa IR.

When submitting journal articles that have been published previously, please adhere to the publishers' policies on the SHERPA/RoMEO website at http://www.sherpa.ac.uk/romeo.php.

If a publisher's policy is not available on SHERPA RoMEO, please contact <u>uir@unisa.ac.za</u> for assistance.

Your item should preferably be submitted in pdf. Please contact <u>uir@unisa.ac.za</u> if you need assistance in this regard.

Adhere to formal file naming conventions, e.g. keep file names short and descriptive, use lower case, avoid spaces, and use alphabetical letters and numbers only.

Once you have completed the above steps, visit the Unisa IR by going to either <a href="www.unisa.ac.za">www.unisa.ac.za</a> > Library > Institutional repository

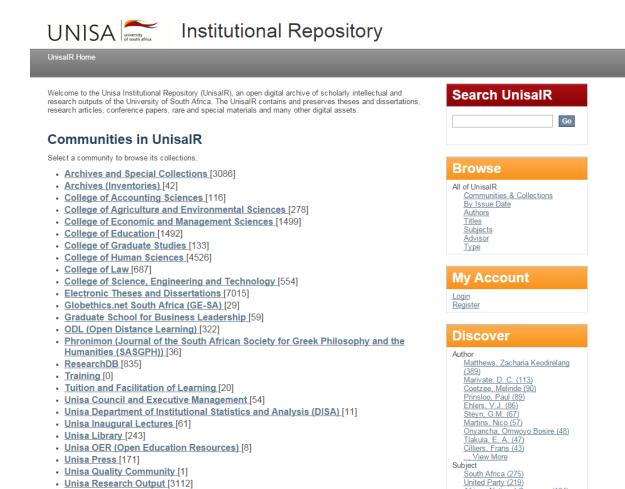
# OR

simply open your Web browser and type in <a href="http://uir.unisa.ac.za/">http://uir.unisa.ac.za/</a>.



# How do I register to become a submitter?

You need to register to obtain a Unisa user ID before you can submit your items. Go to the Unisa IR home page at <a href="http://uir.unisa.ac.za">http://uir.unisa.ac.za</a>



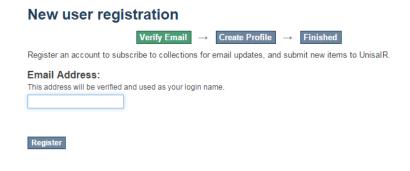
Click on **Register** under **My Account** and follow the link displayed in the box. Type your email address in the space provided. An email will be sent to you. **Please use your Unisa email address to register.** 

The **New user registration** page will open.

Type your email address in the provided space for example: watkapj@unisa.ac.za.

African National Congress (164)







Go to your email inbox and follow the steps to create your password. Then send an email to your Personal Librarian to request submission rights and indicate the collection to which you wish submit items. The Personal Librarian will forward your request to the Unisa IR Administrator.

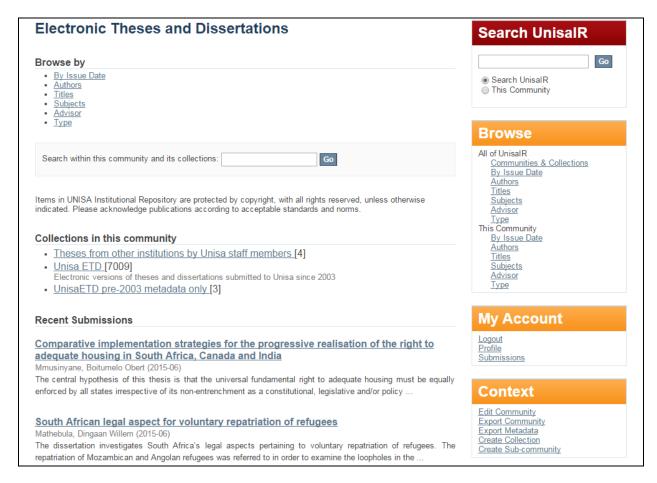
You will be informed as soon as the rights have been assigned, and you can then start submitting your items to the Unisa IR.

If you are already registered, the system will warn you that your email is already in use. In this case you can just login or reset your password if you have forgotten it.

By clicking on **reset password** an email will be sent to your email address. It will contain a link that you have to follow that will allow you to create a new password.

By opening the link that was emailed to you, you will receive the next page:



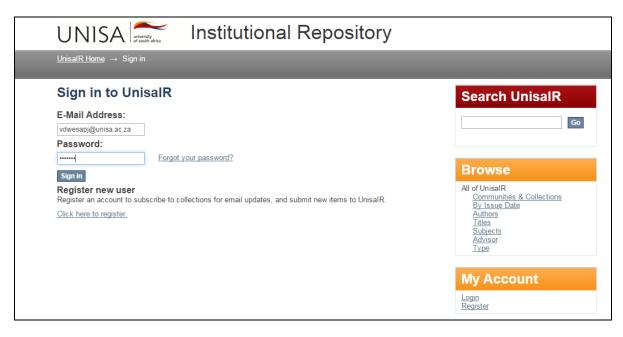


Complete the details on the form and send an email to your Personal Librarian to request that submission rights are assigned to you. Please remember to indicate the collection that you would like to submit items to.

Once you have been notified that your rights have been assigned, you are ready to start submitting to the UnisalR.

To sign on to the Unisa IR, type in your username (i.e. your email address) and your password and click on **Log In**.





# How do I submit an item to the Unisa IR?

Go to <a href="http://uir.unisa.ac.za">http://uir.unisa.ac.za</a> and login at My Account

Select the name of the collection to which you would like to submit, and click on Next.

Then follow the steps described in the screens below.



Be sure to be in the collection and not community.



Alternatively you can start a submission as follows:

After logging in, go directly to submissions.

Welcome to the Unisa Institutional Repository (UnisalR), an open digital archive of scholarly intellectual and research outputs of the University of South Africa. The UnisalR contains and preserves theses and dissertations, research articles, conference papers, rare and special materials and many other digital assets. Search UnisalR Go Communities in UnisalR Select a community to browse its collections **Browse** · Archives and Special Collections [3086] • Archives (Inventories) [42] All of UnisalR Communities & Collections • College of Accounting Sciences [116] By Issue Date College of Agriculture and Environmental Sciences [278] Authors · College of Economic and Management Sciences [1499] Subjects • College of Education [1495] Advisor · College of Graduate Studies [135] Type College of Human Sciences [4527] • College of Law [962] **My Account** • College of Science, Engineering and Technology [554] • Electronic Theses and Dissertations [7015] Logout Profile · Globethics.net South Africa (GE-SA) [29] Submissions • Graduate School for Business Leadership [59]

Click on start a new submission.

# Submissions & Workflow Tasks Submissions You may start a new submission. The submission process includes describing the item and uploading the file(s) comprising it. Each community or collection may set its own submission policy.

Now select a collection







# Step 2: Describe your item

# Guidelines for description

The information required on the following screens will depend upon the choices you made on the first screen. Use the following guidelines to describe your item:

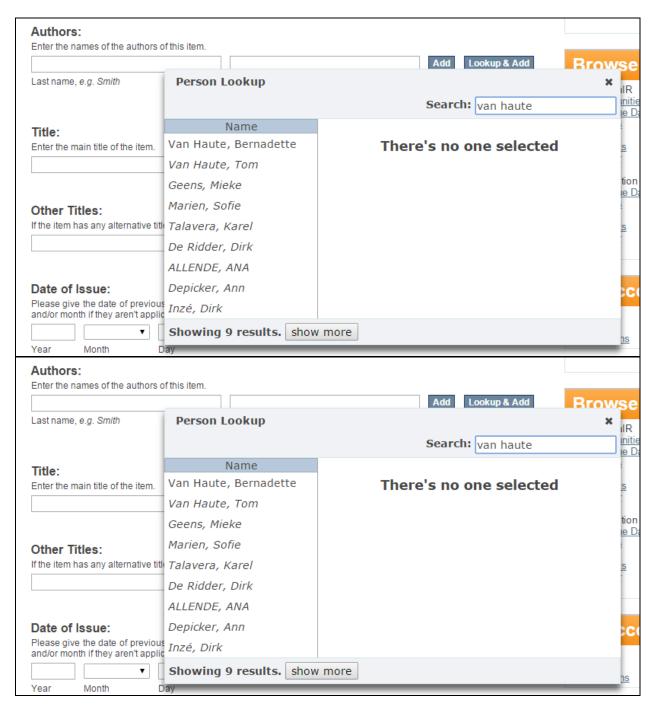
Title*	Mandatory. No full stops at the end of titles. Use sentence case.
Other Titles	For example, translated title, alternative title.
Author*	No spaces between initials. Full stop after each initial. If there is more than one author, then click on <b>Add More</b> . Put <i>Unknown</i> if unknown.
Advisor, Editor, Illustrator, Other Contributors	Complete if applicable.
Publisher	Name of publisher, e.g. Unisa Press.
Date of issue*	Mandatory. Date of previous publication or distribution.
Date of Creation	Original date document, media, item, etc., was created.
Citation	Enter citation for previously issued instance of item according to preference given by your department e.g. Fourie, Pieter J. 2005. 'Journalism studies: The need to think about journalists' thinking.' <i>Ecquid Novi</i> 26(2):142-158.
Series/Report No.	For example, the DVD or CD number, file name, etc.
Identifier	Identification numbers or codes associated with item.
Type*	Select the type of content you are submitting. Hold CTRL down to select more than one type if necessary.
Language*	Language of the main content (file) of the item.

Enter the appropriate information in the provided spaces. The more complete the information the more completely your item will be indexed.

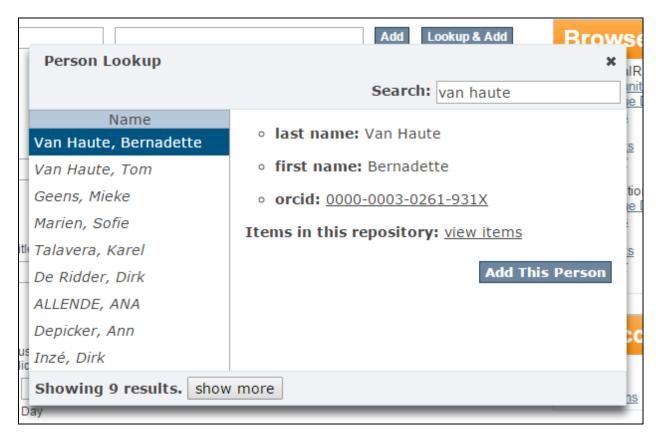
Click on Next to proceed to the following screen

# Step 3: Describe your item











A the a way	
Authors: Enter the names of the authors of	uis item
Enter the flames of the additions of	Add Lookup & A
Last name, e.g. Smith	First name(s) + "Jr", e.g. Donald Jr
☐ Van Haute, Bernadette 👍	
Remove selected	
Title:	
Enter the main title of the item.	
Mandatory. No full stops at the e	of titles. Use sentence case.
	please enter them here.
If the item has any alternative title  Date of Issue:	Add
If the item has any alternative title  Date of Issue: Please give the date of previous	Add  ublication or public distribution. You can leave out the day
Date of Issue: Please give the date of previous and/or month if they aren't applica	Add  ublication or public distribution. You can leave out the day
and/or month if they aren't application and the second area of the second and the second area of the second	Add  ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applications.	Add  ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applications applications are month if they aren't applications are month in the parameters.	Add  ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applications applications with the control of the	Add ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applications applications with the control of the	Add ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applications and the month of the publisher:  Publisher: Enter the name of the publisher of	Add ublication or public distribution. You can leave out the day le.
Date of Issue: Please give the date of previous and/or month if they aren't applicate the Month Displayer Month Displayer Month Displayer: Enter the name of the publisher of Citation:	Add ublication or public distribution. You can leave out the day le.



Series/Report No.:		
Enter the series and number assigned to this ite	em by your community.	
		Add
Series Name	Report or paper No.	
Identifiers:		
If the item has any identification numbers or co and the actual numbers or codes.	odes associated with it, please enter the types	
ISSN ▼	Add	
issn:0004-3389		
Remove selected		
_		
Type: Select the type(s) of content of the item. To se have to hold down the "CTRL" or "Shift" key.	elect more than one value in the list, you may	
Animation Archival Material		
Article Book		
Book chapter Dataset		
Buttasor		
Language: Select the language of the main content of the	sitom. If the language does not appear in the	
list, please select 'Other'. If the content does no dataset or an image) please select 'N/A'.		
English ▼		
Save & Exit Next >		

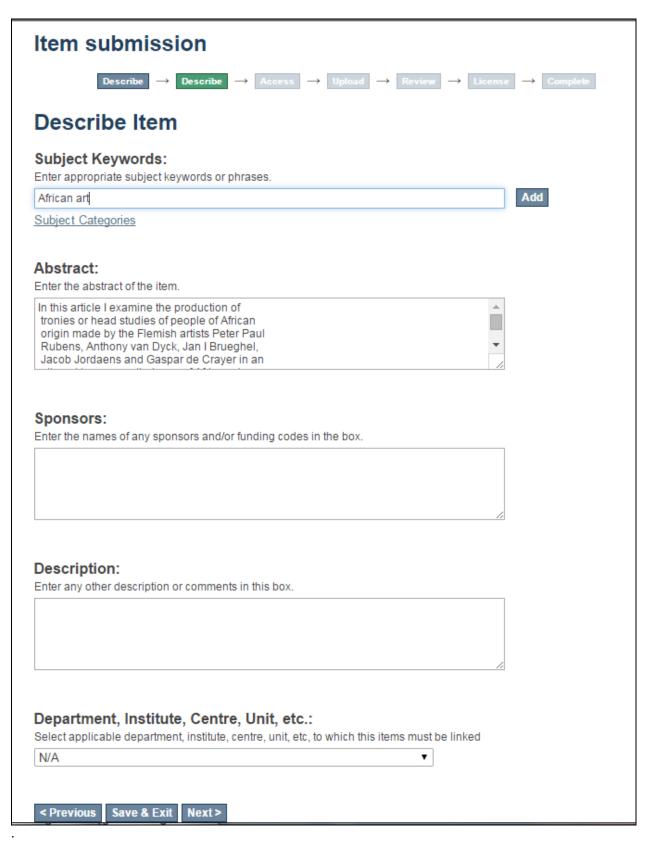


# Guidelines for description:

More Info. Available Online	Complete URL from authoritive website.
Subjects/	Compulsory. Use free language terms. Start with capital. Use sentence case.
Keywords*	Click on <b>Add More</b> to add more keywords.
Abstract	Synopsis of item in the same language as the text of the item, as well as in English if original text is in a language not internationally used.
Sponsors	Names of individuals/organisations that sponsored the research.
Rights*	Compulsory. Acknowledge other sources. If unknown, put "Unknown" or "No rights apply".
Inquiries	Contact email address.
Description	Include more information about the item that is not provided for elsewhere.

Click on **Next** to proceed to the following screen.







Item submission
Access Settings
Private item: If selected, the item won't be searchable Private
Embargo
Embargo until specific date: The first day from which access is allowed. Accepted format: yyyy, yyyy-mm, yyyy-mm-dd
Embargo reason: The reason for the embargo, typically for internal use only. Optional.
< Previous Save & Exit Next >

Step 4: Upload a file



Item submission
Upload File(s)
File: Please enter the full path of the file on your computer corresponding to your item. If you click "Browse", a new window will allow you to select the file from your computer.  Choose File No file chosen
File Description: Optionally, provide a brief description of the file, for example "Main article", or "Experiment data readings".
Embargo until specific date: The first day from which access is allowed. Accepted format: yyyy, yyyy-mm, yyyy-mm-dd  Embargo reason: The reason for the embargo, typically for internal use only. Optional.
Upload file & add another
< Previous Save & Exit Next >
Publisher information
To check the copyright and self-archiving policies per journal or publisher, please consult <u>SHERPA/RoMEO</u> .

The file should be in the correct format. The .pdf format is preferred as it is more suitable for preservation purposes.

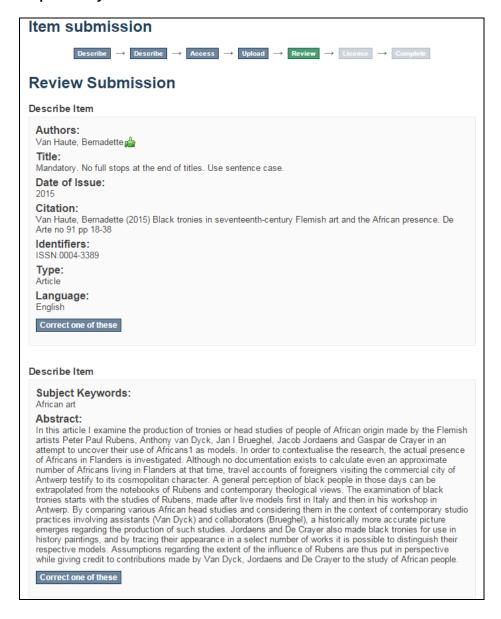
Browse for the file you want to attach, and add a File Description.



If you have selected the **More than one file** option on the first page, you will be prompted to add more than one file on this page.

Once you have added your files, click on **Next** to continue.

Step 5: Verify



You may verify or check the details of the record on this page and make amendments if necessary. Click on **Next** to proceed.



# Item submission

Describe ightharpoonup Describe ightharpoonup Access ightharpoonup Upload ightharpoonup Review ightharpoonup License ightharpoonup Complete

# **Distribution License**

There is one last step: In order for UnisalR to reproduce, translate and distribute your submission worldwide, you must agree to the following terms.

Grant the standard distribution license by selecting 'I Grant the License'; and then click 'Complete Submission'.

Non-Exclusive Distribution License Agreement

In order for the University of South Africa (UNISA) Institutional Repository to reproduce, translate and distribute your submission worldwide, your acknowledgement of the terms below is required.

By agreeing to this license, you (the owner of the rights) grant to UNISA the non-exclusive right to reproduce, translate (as defined below), and/or distribute your submission (including the abstract) worldwide, in print and electronic format and in any medium, including but not limited to audio or video. You agree that UNISA may, without changing the content, transfer the submission to any medium or format for the purposes of preservation. You also agree that UNISA may keep more than one copy of this submission for the purposes of security, back-up and preservation. You declare that the submission is your original work, and that you have the right to grant the rights contained in this license agreement. You also declare that your submission does not, to the best of your knowledge, infringe upon anyone else's copyright. If the submission contains material for which you do not hold the copyright, you declare that you have obtained the unrestricted permission of the copyright owner to grant to UNISA the rights required by this license agreement, and that such third party-owned material is clearly identified and acknowledged within the text or content of the submission. IF THE SUBMISSION IS BASED UPON WORK THAT HAS BEEN SPONSORED OR SUPPORTED BY AN AGENCY OR ORGANIZATION OTHER THAN UNISA, YOU DECLARE THAT YOU HAVE FULFILLED ANY RIGHT OF REVIEW OR OTHER OBLIGATIONS REQUIRED BY SUCH CONTRACT OR AGREEMENT. UNISA will clearly identify you as the creator of the submission, and will not make any alteration, other than as allowed by this license agreement, to your submission.

All items in the UNISA Institutional Repository are subject to copyright. For more information on South African copyright law, visit the SA Copyright Act No. 98 of 1978 (as amended) available at http://www.legalnet.co.za/cyberlaw/CopyrightAct.htm.

If you have questions regarding this license please contact the system administrators.

#### Distribution license:

I Grant the License

< Previous Save & Exit Complete submission</pre>



# Step 6: Grant the licence

Once verification has been completed, you will have to grant the publication licence to the Unisa IR. Please read the terms carefully before you proceed. Once you have granted the licence your submission is completed.



# Step 7: Complete

Once you have completed your part of the submission process, the item will only be available once it has been reviewed and archived by the Library.

©2016 UNISA ALL RIGHTS RESERVED