

The South African Institute of Computer Scientists and Information Technologists

# **Proceedings**

## of the

# 1996 National Research and Development Conference

# **Industry meets Academia**

Interaction Conference Centre, University of Natal,
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26 & 27 September

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#### **FOREWORD**

This book is a collection of papers presented at the National Research and Development Conference of the Institute of Computer Scientists and Information Technologists, held on 26 & 27 September, at the Interaction Conference Centre, University of Natal, Durban. The Conference was organised by the Department of Computer Science and Information Systems of The University of Natal, Pietermaritzburg.

The papers contained herein range from serious technical research to work-in-progress reports of current research to industry and commercial practice and experience. It has been a difficult task maintaining an adequate and representative spread of interests and a high standard of scholarship at the same time. Nevertheless, the conference boasts a wide range of high quality papers. The program committee decided not only to accept papers that are publishable in their present form, but also papers which reflect this potential in order to encourage young researchers and to involve practitioners from commerce and industry.

The organisers would like to thank IBM South Africa for their generous sponsorship and all the members of the organising and program committees, and the referees for making the conference a success. The organisers are indebted to the Computer Society of South Africa (Natal Chapter) for promoting the conference among its members and also to the staff and management of the Interaction Conference Centre for their contribution to the success of the conference.

On behalf of the Organising Committee Vevek Ram Editor and Program Chair Pietermaritzburg, September 1996

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#### **List of Contributors**

#### Vladimir B Bajic

Centre for Engineering Research, Technikon Natal, P O Box 953 Durban 4000

#### **C N Blewett**

Department of Accounting University of Natal King George V Avenue Durban 4001

#### **Justin Cansfield**

Department of Accounting University of Natal King George V Avenue Durban 4001

#### **Tom Considine**

Apron Services (Pty) Ltd P O Johannesburg International Airport 1600

#### **Eric Cloete**

School of Electrical Engineering Cape Technikon Box 652 Cape Town

#### **I Cloete**

Computer Science Department University of Stellenbosch Stellenbosch 7600

#### O A Daini

Department of Computer Science University of Botswana Gaborone Botswana

#### Nirvani Devcharan

Umgeni Water Box 9 Pietermaritzburg 3200

#### PJA de Villiers

Department of Computer Science University of Stellenbosch Stellenbosch 7700

#### Ruth de Villiers

Department of Computer Science and Information Systems UNISA Box 392, Pretoria, 0001

#### **G J Erwin**

Business Information Systems University of Durban-Westville Private Bag X54001 Durban 4000

#### **G** Ganchev

Computer Science Department University of Botswana PBag 0022 Gaberone, Botswana

#### J Geldenhuys

Department of Computer Science University of Stellenbosch Stellenbosch 7700

#### Louise Gibson

BIS, Dept Accounting & Finance University of Durban Pvt Bag X10 Dalbridge 4014

#### Mike Hart

Department of Information Systems University of Cape Town Rondebosch 7700

#### M. Hajek

Department of Computer Science University of Durban-Westville Pvt Bag X54001 Durban 4000

#### A C Hansen

Dept of Agricultural Engineering University of Natal Private Bag X01 Scottsville 3209

#### J M Hattingh

Department of Computer Science Potchefstroom University for CHE Potchefstroom 2520

#### **Boris Jankovic**

Centre for Engineering Research Technikon Natal P O Box 953, Durban 4000

#### Paula Kotze

Department of Computer Science and Information Systems UNISA Box 392 Pretoria, 0001

#### J W Kruger

Vista University Soweto Campus Box 359 Westhoven 2124

#### A C Leonard

Dept of Informatics University of Pretoria Pretoria 2000

#### Ben Laauwen

Laauwen and Associates P O Box 13773 Sinoville 0129

#### Mari Le Roux

Information technology, development: project leader Telkom IT 1015 Box 2753 Pretoria 0001

#### PWL Lyne

Dept of Agricultural Engineering University of Natal Private Bag X01 Scottsville 3209

#### Rose Mazhindu-Shumba

Computer Science Department University of Zimbabwe Box MP167 Harare, Zimbabwe

#### Meredith McLeod

Centre for Engineering Research, Technikon Natal, P O Box 953 Durban 4000

#### **D** Moodley

Computer Management Systems Box 451 Umhlanga Rocks 4320

#### **Andrew Morris**

P O Box 34200 Rhodes Gift 7707

#### R C Nienaber

Technikon Pretoria
Dept of Information Technology
Private Bag X680
Pretoria 0001

#### E Parkinson

Department of Computer Science University of Port Elizabeth Box 1600 Port Elizabeth 6000

#### **Don Petkov**

Department of Computer Science and Information Systems University of Natal PBag x01 Scottsville 3209

#### Olga Petkov

Technikon Natal Box 11078 Dorpspruit 3206 Pietermaritzburg

#### N Pillay

Technikon Natal Box 11078 Dorpspruit 3206 Pietermaritzburg

#### V Ram

Department of Computer Science and Information Systems University of Natal PBag x01 Scottsville 3209

#### Melinda Redelinghuys

Department of Computer Science and Information Systems UNISA Box 392 Pretoria, 0001

#### Karen Renaud

Computer Science and Information Systems UNISA
Box 392
Pretoria, 0001

#### **H N Roux**

Department of Computer Science University of Stellenbosch Stellenbosch 7700

#### T G Scott

Department of Computer Science Potchefstroom University for CHE Potchefstroom 2520

#### T Seipone

Department of Computer Science University of Botswana Gaborone Botswana

#### **Derek Smith**

Department of Information Systems University of Cape Town Rondebosch 7700

#### Anette L Steenkamp

Department of Computer Science and Information Systems UNISA Box 392 Pretoria, 0001

#### T Steyn

Department of Computer Science Potchefstroom University for CHE Potchefstroom 2520

#### H. Suleman

Department of Computer Science University of Durban-Westville Pvt Bag X54001 Durban 4000

#### A Vahed

Department of Computer Science University of Western Cape Private Bag X17 Bellville 7530

#### A Van der Merwe

Computer science and Informations Systems UNISA P O Box 392 Pretoria,0001

#### Tjaart J Van Der Walt

Foundation for Research and Development Box 2600 Pretoria, 0001

#### K Vavatzandis

Department of Information Systems University of Cape Town Rondebosch 7700

#### Y Velinov

Dept Computer Science University of Natal Private Bag X01 Scottsville 3209

#### **H** Venter

Department of Computer Science University of Port Elizabeth Box 1600 Port Elizabeth 6000

#### **H L Viktor**

Computer Science Department University of Stellenbosch Stellenbosch 7600

#### **R Von Solms**

Department of Information Technology Port Elizabeth Technikon Private Bag X6011 Port Elizabeth 6000

#### A J Walker

Software Engineering Applications Laboratory Electrical Engineering University of Witwatersrand Johannesburg

#### P Warren

Computer Science Department University of Natal P/Bag X01 Scottsville 3209

#### Max Watzenboeck

University of Botswana Private Bag 0022 Gaberone Botswana

# THE PEARL ALGORITHM AS A METHOD TO EXTRACT INFORMATION OUT OF A DATABASE.

J. W. Kruger
KRGER-JW@SOREX.VISTA.AC.ZA
Vista University - Soweto Campus
P. O. Box 359, Westhoven 2142
Tel: (011) 938-1701 x 238(W), (011) 477-2078 (H)

#### **Abstract**

Big databases have been built up over time. The information in these databases can be converted by the Pearl algorithm [ Pearl 1988 ] from data to information. When a promotional drive is initiated, do we know who the potential customers are? The Pearl algorithm gives the belief that an individual will purchase. This means that the database can be used to select the individuals that are most likely to purchase. The breakeven point, where the cost of the promotional contact and the expected return are the same, can be calculated. The Pearl algorithm uses Bayes' probabilities to propagate belief through a tree.

#### The Correlation between variables

The Pearson correlation between the numeric different fields on a flatfile (or relational) database can be calculated. Non-numeric fields, like yes/no answers can be converted to numeric by allocating a one to yes and a zero to no. The Pearl algorithm was developed for binary fields, and the conversion, mentioned here, give good results. In my personal experience market researchers without a statistical background tend to have too many non-numeric fields in their questionaires. These fields are sometimes difficult to analyse and the data is then merely stored for possible future reference.

#### Star decomposability

If we have three variables (Nodes A, B and C), then they are said to be star decomposable if a latent structure [Lazarfeld 1966] exists that is the common cause to the three variables. The latent structure can be found as a hidden node. If the hidden variable is called W, then the correlation to the hidden variable can be determined (See figure 1).

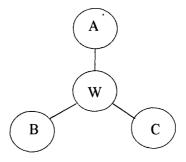


Figure 1. A star formation:

The latent structure, indicated by node W, links the leaf nodes A, B and C.

The correlation to W can be calculated from:

 $r_{AB} = r_{AW} \cdot r_{WB}$ 

 $r_{AC} = r_{AW} \cdot r_{WC}$ 

 $r_{BC} = r_{BW} \cdot r_{WC}$ 

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By solving:

$$r_{AW} = \sqrt{\frac{r_{AB} \cdot r_{AC}}{r_{BC}}}$$

The correlation to the hidden node, W, can be calculated.

#### **Causal Structure**

To add a node, D, to this structure, one of the following correlation equations must hold:

- 1.  $r_{AD}$ .  $r_{BC} = r_{AB}$ .  $r_{CD}$
- 2.  $r_{BD}$  .  $r_{AC} = r_{AB}$  .  $r_{CD}$
- 3.  $r_{AD}$  .  $r_{BC} = r_{AC}$  .  $r_{BD}$

In equation 1, the node D must link to the arc between B and W.

In equation 2, the node D must link to the arc between A and W.

In equation 3, the node D must link to the arc between C and W.

By continuing with this reasoning a causal structure that is an acyclical graph (Tree / belief network) can be built up.

#### Joint-Occurence probabilities

For the time being, assume that we are working with binary variables and that W is the central node between the leaf nodes:  $X_1$ ,  $X_2$  and  $X_3$ :

Define the seven joint-occurence probabilities as [ Lazarfeld 1966 ]:

$$p_i = p(x_i = 1)$$

$$p_{ij} = p(x_i = 1, x_j = 1)$$

$$p_{ijk} = p(x_i = 1, x_j = 1, x_k = 1)$$

The standard deviation of a Bernoulli variable is given by:  $\sigma_i = [p_i (1 - p_i)]^{\frac{1}{2}}$ 

and the correlation coefficients:  $\rho_{ij} = (p_{ij} - p_i p_j) / \sigma_i \sigma_j$ 

#### **Link Matrix**

Define  $f_i = p(x_i = 1 \mid w = 1)$  and  $g_i = p(x_i = 1 \mid w = 0)$ ; Now we can solve the elements of the link matrices [Bhat 1984] or transition probability matrix.

The prior probabilities of the node W, multiplied by the transition matrix, gives the prior probabilities for the node  $X_i$ .

Let 
$$S_i = \pm [(p_{ij} - p_i p_i)(p_{ik} - p_i p_k) / (p_{ik} - p_i p_k)]^{1/2}$$

$$\mu_i = (p_i p_{ijk} - p_{ij} p_{ik}) / (p_{jk} - p_j p_k)$$

$$K = S_i / p_i - p_i / S_i + \mu_i / (S_i p_i)$$

and  $\alpha = t^2 / (1 + t^2)$ , where t is the solution to  $t^2 + Kt - 1 = 0$ 

then 
$$f_i = p_i + S_i [(1 - \alpha) / \alpha]$$
  
and  $g_i = p_i - S_i [(1 - \alpha) / \alpha]$ 

Anybody interested in the manipulations can look at the theorem by Lazarfeld [ Lazarfeld 1966, Pearl 1988 ].

#### Non-binary variables

The Pearl algorithm was developed for binary variables. For variables in more states or for continuous variables the causal structure can still be found.

To generalise, a method to calculate the transition matrices must be found. In general we must solve 3n(n-1) unknown parameters (elements) to find the transition matrices, to connect three leaf nodes to the belief network (The tree). A generalisation to a continuous state space scenario is needed.

A heuristic to solve the elements of the link matrices with more than two states has been developed . [ Kruger 1996a ]

#### **Belief Propagation**

In a single chain structure (see Figure 2):

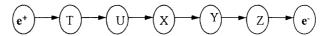


Figure 2. A causal chain with evidential data at its head  $(e^+)$  and tail  $(e^-)$ 

To propagate the prior probability down away from the root, the prior probability vector must be premultiplied to the transition matrix.

To propagate the likelihood towards the root, the likelihood must be post-multiplied to the transition matrix.

#### For the tree however:

Consider a typical node x, with m children  $y_1, y_2, \dots, y_m$ , and parent u:

#### Belief updating in a tree:

As with the chain structure above; Using the causal support,  $\pi(x)$ , and diagnostic support,  $\lambda(x)$ , the belief distribution of x is:

$$BEL(x) = \alpha P(e^+ \mid x) P(x \mid e^-) = \alpha \lambda(x) \pi(x)$$

 $\alpha$  is a normalizing constant to make  $\Sigma BEL(x) = 1$ .

Note: The multiplication of these vectors must not be confused with the scalar product of vectors. By multiplication we mean that the corresponding co-ordinates are multiplied, giving a vector of products, each co-ordinate corresponding to the belief that the state is true.

The difference comes in the way that  $\lambda$  (x) is calculated.

 $\lambda(x) = P(e^{-|x|}) = P(e^{-|x|}) = P(e^{-|x|}) = \prod_{i=1}^{m} \lambda(y_i \text{ of } x)$ . because x separates its children and the siblings are conditionally independent.

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$$\pi(x) = \sum_{u} P(x \mid u) \pi_{x}(u) = \pi(u) P(x \mid u)$$

#### **Bottom-up propagation:**

Node x uses the  $\lambda(x)$  message from the children to compute a new message  $\lambda(x)$  of u) to send to its parent u.

$$\lambda(x \text{ of } u) = \lambda(x) P(x \mid u)$$

#### **Top-down propagation:**

The new  $\pi$  message sent to by node x to its j-th child  $y_i$  is:

$$\pi(y_j) = \alpha \pi(x) \prod_{k \neq j} \lambda(y_j \text{ of } x)$$

but,

BEL(x) = 
$$\alpha \lambda(x) \pi(x)$$
, therefore  $\pi(y_i) = \alpha BEL(x) / \lambda(y_i)$ .

Readers interested in Belief propagation in more general networks are referred to Pearl [ 1996 ]. As the Pearl algorithm produces a causal tree, belief propagation in more general networks are not included here.

From the above it is evident that we only need the prior probabilities of the root and the likelihood functions of the leaf nodes to calculate the belief of all the nodes.

The prior probabilities for the leaf nodes can be used as likelihoods. The prior probability for the root must still be found. The easiest is to make the dependent variable the root. This means that certain transition matrices will have to be inverted. Of the dependent variable is the root, then the prior probabilities can be found empirically.

#### **Application**

In a database, the variable, of whether the client will purchase or not, can be found from previous experience (data). Last time a promotion went out, who purchased. It can also be found from a pilot study. The causal structure with other fields in the database can be found by the Pearl algorithm.

Read the clients in the database sequentially. Instantiate the known fields for each client on the database.

Now these likelihoods and the prior probability for the root can be propagated through the belief network, to get the belief of all the undefined variables in the belief network.

Kruger [ Kruger 1996a ] gives a method to evaluate the different possible belief networks created. Kruger also compares the accuracy of belief networks on data, used to create the networks, with applying the algorithm to other data.

The belief of a state like purchase can be multiplied by the expected monetary gain of a purchase, to get the expected gain of contacting this client. If the gain is more than the cost, then it will be wise to go ahead. If the gain is less than the cost, then of course no contact must be made.

With this method qualitatively seemingly unrelated fields can assist in the highly competative field of retail sales. Fields giving biographical data like gender, home language, marital status can now be used to predict sales.

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Personell Psychologists use biographical information to decide on the the selection of applicants. They create a weighted biographical information index, test the validity of this index and then base their decisions on this. The Pearl algorithm is a much more refined method to obtain a valid selection criterium out of a database [McCormich 1992, Kruger 1996b].

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